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PATENT

Customer No. 22,852

Attorney Docket No. 7744/0061

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Michael A. EKHAUS et al. )  
)  
Application No.: 09/887,528 ) Group Art Unit: 2163  
)  
Filed: June 25, 2001 ) Examiner: Unknown  
)  
For: METHOD AND SYSTEM FOR )  
HIGH PERFORMANCE MODEL- )  
BASED PERSONALIZATION )

Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: February 4, 2003

By: 

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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No. 7744.0061				Serial No. 09/887,528			
Applicant Michael A. EKHAUS et al.							
Filing Date June 25, 2001				Group 2163			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate	
			<b>RECEIVED</b>				
			FEB 07 2003				
			<b>GROUP 3600</b>				
<b>FOREIGN PATENT DOCUMENTS</b>							
	Document Number	Date	Country	Class	Sub Class	Translation Yes or No	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
/J.S./	G. Karypis, "SUGGEST Top-N Recommendation Engine, Version 1.0," downloaded from < <a href="http://www-users.cs.umn.edu/~karypis/suggest/Files/manual.pdf">http://www-users.cs.umn.edu/~karypis/suggest/Files/manual.pdf</a> > (Nov. 7, 2000, 11 pages)						
/J.S./	G. Karypis, "Evaluation of Item-Based Top-N Recommendation Algorithms," downloaded from < <a href="https://www.cs.umn.edu/tech_reports/listing/tr2000/00-046.pdf">https://www.cs.umn.edu/tech_reports/listing/tr2000/00-046.pdf</a> >, University of Minnesota - Computer Science and Engineering, Technical report #00-046 (September 15, 2000) (15 pages including Technical Report Abstract).						
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/J.S./	C.D. Meyer, <i>Matrix Analysis and Applied Linear Algebra</i> (Society for Industrial and Applied Mathematics, 2000).						
Examiner	/Jonathan Sterrett/ (05/21/2010)			Date Considered			
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						
Form PTO 1449				Patent and Trademark Office - U.S. Department of Commerce			